Anti – Money Laundering and Proceeds of Crime: Laws and Counter Measures

Special AML/CTF Event: a AML/CTF masterclass lectures from leading experts. Guest lecturers from AUSTRAC, AGD, CBA, AFP, CDPP and (APG Money Laundering)
FRIDAY 8 MAY

8.45am  Welcome and Overview
Jim JOLLIFFE, Lecturer and Course Convener, AML UNSW Law

8.55am  Topic 1: Current AML/CTF Issues and Developments
Speaker: Daniel MOSSOP, Director Financial Crime Section, Attorney General’s Department
  • Financial Action Task Force Mutual evaluation of Australia’s anti-money laundering arrangements
  • global risk and alternative remitters

10.00am  Topic 2: AUSTRAC’s Role
Speaker: Amanda WOOD, General Manager Compliance, AUSTRAC
  • Austrac as Australia’s Financial Intelligence Unit
  • AML/CTF Regulator

11.00am  Morning tea

11.15am  Topic 3: AML Compliance Challenges for Complex Financial Institutions (tbc)
Speaker: Cassandra WILLIAMS, General Manager Group Compliance, CBA
  • Meeting the compliance obligations in the financial services sector
  • Risk management assessments and strategies

12.15pm  Lunch

1.00pm  Topic 4: International Developments in AML/ CFT
Speaker: Dr Gordon HOOK, Executive Secretary, Asia Pacific Group on Money Laundering (APG)
  • Overview of the APG and the Financial Action Task Force (FATF)
  • Overview of 2012 FATF standards
  • UN Security Council Resolutions 1267 and 1373 and their successor resolutions
  • FATF Recommendation 6 (new standard) - Australia’s compliance with this new standard
  • FATF Immediate Outcome 10
  • International Cooperation Review Group process

2.00pm  Topic 5: Asset Forfeiture developments
Speaker: Penelope KELTON, Deputy Counsel and Coordinator, Australian Federal Police – asset recovery task force
  • Overview of the Australian Federal Police Criminal Assets Confiscation Taskforce (CACT)
  • Overview of the Proceeds of Crime Act 2002 (Cth)
  • Scope of serious and organised crime targeted by the CACT
  • Recent developments in case law

3.00pm  Afternoon Tea

3.15pm  Topic 6: Commonwealth Money-Laundering Offences and Prosecutions
Speaker: Chris MURPHY, Senior Assistant Director, Commonwealth Director of Public Prosecutions
  • Emerging issues in money laundering prosecutions
  • Recent Developments in Cases

4.15pm  Q&A and Close

SATURDAY 9 MAY

9.00am  Topic 7: Counter Terrorist Financing Investigations
Speaker: Detective Superintendent Stephen DAMETTO, Australian Federal Police
  • CTF overview
  • Case Studies
  • Financial intelligence in CT investigations

10.00am  Q&A and Morning tea

10.30am  CLE Seminar Closes
Anti – Money Laundering and Proceeds of Crime: Laws and Counter Measures

8.45am to 4.30pm | Friday 8 May 2015 | UNSW CBD Campus, Level 6/ 1 O’Connell St Sydney

**Audience**

Regulators and Enforcement agencies  
Compliance professionals  
Anti Money Laundering (AML) professionals  
In House Counsel  
Banking and Finance Lawyers  
Senior Executive Staff  
Risk Management executives  
General Practitioners  
Commercial Lawyers  
Insurance lawyers and executives  
Securities dealers and advisors  
Real estate, Precious metals dealers, Jewelers and  
Gaming Industries  
Financial Services Lawyers and executives

Participants who register on this form for CLE attendance on Friday 8 May and Saturday 9 May 2015 are able to claim up to 7 CLE units, one for each hour of attendance not counting refreshment breaks. Please contact CLE@UNSW.EDU.AU if you would like to attend the whole AML course over four days without undertaking assessment.

**Post Graduate course AML/CTF/Proceeds of Crime – Code – LAWS8011**

Delivered in intensive format held over Four Days:  
Friday 20 Saturday 21 March and Friday 8 & Saturday 9 May 2015

Course lecturer Jim Jolliffe will commence lectures on Friday 20, Saturday 21 March and continue substantive lectures in AML/CTF course from 10.45am on 9 May 2015. All students are required to attend the seminar on Friday 8 and Saturday 9 May.

If you would like to consider undertaking the full four days of the intensive course LAWS8011

**AML/CTF and Proceeds of Crime, please refer to the Law School student services desk**

Email: law@unsw.edu.au or contact manager  
Philip.Raponi@unsw.edu.au  

**AML/CTF/Proceeds of Crime – Code – LAWS8011 – Course Description**

The course will examine issues relevant to the money laundering process and asset forfeiture. This will include a consideration of some common typologies/ case studies in the area and a consideration of the extent and impact of money laundering. It is intended to provide a critical appreciation of international initiatives and arrangements to counter money laundering and terrorist financing. It will cover relevant Commonwealth and State Laws and the requirements and arrangements for reporting various financial transactions and the compliance obligations imposed on various entities under Australia’s anti money laundering regime. It will include an overview of comparative anti money laundering and asset forfeiture regimes and include a consideration of legal, policy and compliance issues surrounding anti money laundering, terrorist financing and asset forfeiture.

**ABOUT THE SPEAKERS:**

**JIM JOLLIFFE** was the convenor and lecturer in UNSW’s post graduate course in Anti Money Laundering and Proceeds of Crime-Laws and Countermeasures between 2009 and 2011. He held an appointment as a Senior Visiting Fellow at the University during that time. He is admitted as a solicitor in NSW and was the Deputy Director of the Sydney Office of the Commonwealth Director of Public Prosecutions (CDPP) for nearly 16 years before leaving the Australian Public Service in July 2013. Between mid September and mid December 2012, he acted as the Commonwealth Director of Public Prosecutions. He gained significant experience in proceeds of crime litigation when that work was undertaken by the CDPP. He has also had experience with the federal money laundering offence provisions and the conduct of those proceedings by the CDPP. In 2001 he was awarded an Australian Public Service Commission Fellowship to study Anti Money Laundering and related Proceeds of Crime issues internationally. Jim is a member of a federal tribunal and in conjunction with a colleague is preparing a publication on anti-money laundering issues.

**Daniel MOSSOP**, Director Financial Crime Section, Attorney General’s Department. Daniel is the Director of the Financial Crime Section in the Commonwealth Attorney-General’s Department. The Financial Crime Section has policy responsibility for Australia’s anti-money laundering and counter-terrorism financing regime, including the Anti-Money Laundering and Counter-Terrorism Financing Act 2006, and Australia’s engagement with the Financial Action Task Force. Daniel has been working directly on AML/CTF issues for over four years and has led Australia’s delegation at the Financial Action Task Force’s Plenary meeting in Paris.

**Amanda WOOD**, General Manager Compliance, AUSTRAC. Amanda Wood joined AUSTRAC in 2007 and is currently the General Manager, Compliance. At AUSTRAC, Amanda has had leadership responsibility for AUSTRAC’s Regulatory Compliance, Enforcement and Policy functions, the implementation of the AUSTRAC supervisory levy and reforms to the regulation of the remittance sector.
Cassandra WILLIAMS, General Manager – Group Compliance, Commonwealth Bank of Australia
Cassandra Williams is the General Manager Group Compliance at the Commonwealth Bank of Australia. She is responsible for Compliance, AML and Sanctions frameworks and associated policies, regulatory licences, regulatory change and co-ordinating regulatory engagement. Cassandra joined CBA in October 2010. Prior to joining CBA, Cassandra spent four years as Head of Central Compliance for Investec Bank UK, seven years at the UK Financial Services Authority (within the UK Listing Authority). Cassandra grew up in Tasmania and received a Bachelor of Arts and Law from the University of Tasmania.

Dr Gordon HOOK, Executive Secretary, Asia Pacific Group on Money Laundering (APG). Gordon is the executive head of the APG, an international organisation, formed in 1997 consisting of 41 countries in the Asia-Pacific committed to implementing the international standards on money laundering and terrorist financing. He practiced criminal law in Canada for 14 years and in New Zealand for 11 years. He has a LLB from Dalhousie University in Canada and a PhD (Law) from Victoria University of Wellington, in New Zealand.

Penelope KELTON, Deputy Counsel – Proceeds of Crime - NSW, Australian Federal Police (AFP). Pennie formed and leads the Sydney office of the AFP’s Proceeds of Crime Litigation team, conducting litigation under the Proceeds of Crime Act 2002 (Cth). The Proceeds of Crime Litigation team is part of the Criminal Asset Confiscation Taskforce (CACT), a multi-agency taskforce led by the AFP and which includes the Australian Taxation Office (ATO) and the Australian Crime Commission (ACC). The CACT was established to combat serious and organised crime and to identify and remove profits derived from criminal activity. Pennie has extensive experience in corporate and civil litigation and in advising Government. She is the AFP Legal representative to ARIN-AP (Asset Recovery Inter-Agency Network – Asia Pacific) an international forum bringing together law enforcement, litigation, judicial practitioners and industry experts to improve mutual knowledge and enhance international cooperation on confiscation efforts.

Chris MURPHY, Senior Assistant Director, Commonwealth Director of Public Prosecutions. Chris has over 20 years' experience prosecuting federal offences as well as experience in the conduct of proceedings under the Proceeds of Crime Act 2002. He has specialised in the prosecution of federal money laundering and serious tax fraud offences and was CDPP National co-ordinator for Project Wickenby. Recently he appeared, on behalf of the Commonwealth DPP, before the Evaluation Committee conducting the 2014 FATF Mutual Evaluation – Australia.

Detective Superintendent Stephen DAMETTO, Australian Federal Police. Stephen is a Detective Superintendent in the Australian Federal Police (AFP). He formed and led the AFP’s Terrorism Financing Investigations Unit (TFIU). He has extensive experience in counter terrorism and money laundering investigations in Australia and has done secondments to the UK’s Metropolitan Police Service in their Counter Terrorism Command (SO15) as a member of their Senior Management Team and in the UK’s National Crime Squad’s Money Laundering Investigations Team. Stephen is a Chartered Accountant and a qualified lawyer and is currently a PhD candidate in law at the University of NSW.
Anti – Money Laundering and Proceeds of Crime: Laws and Counter Measures

Friday 8 May 2015 • 8.45am – 4.30pm | UNSW CBD Campus, Level 6, 1 O’Connell Street, Sydney

I would like to attend

Title Mr/Mrs/Miss/Ms:

First Name: ___________________________________

Last Name: ___________________________________

Firm/Organisation: ______________________________

Occupation: ___________________________________

Address: _____________________________________

____________________________  Postcode: ________

Telephone: ____________________________________

Fax: _________________________________________

Email: _______________________________________

Course cost includes tuition, materials and refreshments $726 inc GST

Registrants are welcome to attend Saturday 9 May

Payment Options

We do not accept American Express

I enclose a cheque payable to “CLE, UNSW”,

or Payment by credit card □ Mastercard □ Visa

Card number: _____ / _____ / _____ / _____

Cardholder’s name: ____________________________

Expiry date: _________ / __________

Signature: ___________________________________

Register on-line at www.cle.unsw.edu.au

Please return this registration form with your payment to:

CLE,
Faculty of Law, UNSW,
Sydney NSW 2052

Tel: (02) 9385 2267 or (02) 9385 2195
Fax: (02) 9385 1155 or (02) 9385 1778
Email: cle@unsw.edu.au | Website: www.cle.unsw.edu.au

Programme Variation: The Director of CLE retains the right to vary the programme to deal with unforeseen circumstances. This includes cancelling or re-scheduling a programme and changing speakers or content if occasion obliges us to do so.

Cancellation Policy: Cancellations will be accepted up to two weeks prior to the commencement of the course. Withdrawal after this time and before the commencement of the course will result in a cancellation charge of $100. Cancellations after the commencement of the course will not be eligible for a refund.

Privacy note: The information you have provided on this form will only be used by UNSW to distribute information about University courses and activities to you.

You can now REGISTER ONLINE go to www.cle.unsw.edu.au

Office Use Only:

Rec’d    Inv No.    Auth No.